

# APPLICATION FORM

TO OPEN A MEMBERSHIP INVESTMENT ACCOUNT WITH THE MANSFIELD BUILDING SOCIETY

the  
**mansfield**  
building society

Principal Office:  
Regent House, Regent Street,  
Mansfield, Notts NG18 1SS  
Telephone: (01623) 676300  
e-mail: enquiries@mansfieldbs.co.uk  
website: www.mansfieldbs.co.uk

Please read this application form and the 'More Information' guidance notes overleaf before completion together with the General Account Terms and Conditions leaflet

If you are opening this account in more than two names, then please ask for an additional application form.  
Please use BLOCK CAPITALS and fill in all sections or tick boxes, as appropriate.

For our use only:  
Account Number

**1 ACCOUNT TYPE** Please write the name of the account type you are opening (e.g. Prime Access)

**2 INVESTMENT AMOUNT** Please write the amount you wish to invest  £  The current maximum and minimum investments are shown in the Current Interest Rates Summary.

All cheques should be drawn in favour of The Mansfield Building Society and the account name. (e.g. The Mansfield Building Society - J.Smith)

**3 PERSONAL DETAILS First Named Account Holder** **4 Second Named Account Holder**

Title  Mr / Mrs / Miss / Ms etc  Mr / Mrs / Miss / Ms etc

First Name(s)

Surname

Name of Trust or Estate (if applicable)

Permanent Address

Post Code

Date of Birth

Marital/Civil Partnership Status Single  Married  Partnership  Widowed  Single  Married  Partnership  Widowed

Separated  Divorced  Living with partner  Separated  Divorced  Living with partner

How many children do you have under age 18?

What is your National Insurance Number?

Nationality

Telephone Day    
Evening    
Mobile

E-mail address

Security identifier\*

Other accounts with The Mansfield Building Society **Investment Account** Account Number(s)

**Investment Account** Account Number(s)

**Mortgage Account** (if applicable) Account Number

**Mortgage Account** (if applicable) Account Number

Do you own your own home?  Yes  No  Yes  No

What year did you move to this address?

What is your job?

\* Required for security purposes and must be a word that is easily remembered but not known to any other party.

**5 ADDRESS FOR YOUR POST**

All information about the account will be posted to the address of the first named account holder:

If you want us to post information to another address, please write it here i.e. House Name, Number, Street.

Post Code

**6 INTEREST PAYMENT INSTRUCTIONS**

(Tick/complete one section only)

If you do not pay tax, please remember to fill in a R85 form if you want us to pay your interest gross.

i. Add the interest to this account  Yes

ii. Transfer to another account you hold with us Account No.

iii. Pay to my/our Bank account in the name(s) of Initials

Surname

Account No.

Bank name  Branch

Sort Code

Please note - option iii. is only available where the amount exceeds £25

(Please read and complete this section very carefully)  
(Please tick the relevant boxes below)

IF YOU HAD A SHARE ACCOUNT WITH THE SOCIETY ON 5 APRIL 2000 AND HAVE KEPT A SHARE ACCOUNT EVER SINCE THAT DATE, OR IF YOU HAVE A MORTGAGE WITH THE SOCIETY AT TODAY'S DATE, THE WORDING IN PARAGRAPHS 1 TO 3 BELOW DOES NOT APPLY TO YOU, HOWEVER IT MUST NOT BE DELETED. PLEASE LIST YOUR SHARE/MORTGAGE ACCOUNT NUMBER(S) ON THE FRONT PAGE.

1. By applying to open a share account on or after 6 April 2000 I/we agree with the Society and the Charities Aid Foundation ("the CAF") that I/we will assign to the CAF (or to any charity(ies) nominated by it or by the Society under the provisions of a deed dated 5 April 2000 between the Society and the CAF, in which case references to the CAF shall include references to any other charity(ies), but to no other person) the rights to any relevant conversion benefit (defined below). This obligation will not apply to me/us if I/we fall within any class of persons which, as at today's date, the Society wishes to be excluded from such obligation. This agreement is irrevocable and authorises the Society to transfer to the CAF any such benefits without further notice to me/us. I/we understand that neither the Society nor the CAF will release me/us from this agreement or vary its' terms and (except as set out in paragraph 2 below) I/we will continue to be bound by the above condition even if the Society decides at some time in the future (and announces any such decision by press release) that it is no longer in the best interests of the Society to continue with the above assignment condition generally in respect of new members.
- 2a. "Relevant conversion benefits" means any benefits to which I/we might become entitled as a shareholding member of the Society under the terms of any future transfer of the Society's business to a company (i.e. on a conversion or takeover) which is completed at any time within five years immediately following the date on which my/our share account is opened (or, if applicable, the shorter period as set out in the list available from the Society's Secretary). "Relevant conversion benefits" does not include the statutory right to have shares in the Society (including any balances on share accounts) converted into deposits with the company on a conversion or takeover.
- 2b. If the Society merges with any other society, after the date of such merger the "Society" includes such other society.
3. I/we authorise the Society to pass to the CAF such information relating to me/us and my/our accounts with the Society as the CAF may reasonably require in order to administer this agreement to assign and the relevant conversion benefits and for no other purpose. I/we consent to both the Society and the CAF holding and processing such information for such purposes.

A list of the classes of persons which the Society currently wishes to be excluded from the obligation to assign or in respect of which a shorter period applies (which list may change from time to time but not with retrospective effect) is available on request from the Society's Secretary at its' Principal Office.

#### **DATA PROTECTION - Use of your Information**

Information which you provide to The Mansfield Building Society or that we obtain from our dealings with you may be used for the following purposes:

- Account Administration
- Statistical Analysis
- Fraud Prevention
- Compliance with legislation and voluntary codes
- Marketing
- To enable a review to take place of your total relationship with The Mansfield Building Society Group
- The selling of mortgages and mortgage related products through MB Simply Mortgages, a subsidiary company of The Mansfield Building Society
- To enable us to maintain contact with you by means of regular communication to inform you about products and services offered by The Mansfield Building Society

and therefore it is important that the information you give us is accurate and up to date.

We may make searches about you at credit reference agencies who will supply us with information, including information from the Electoral Register, for the purpose of verifying your identity. The agencies will record details of the search whether or not this application proceeds. The searches will not be seen or used by lenders to assess your ability to obtain credit. We may use scoring methods to assess this application and to verify your identity. Credit searches and other information which is provided to us and/or the credit reference agencies, about you and those with whom you are linked financially may be used by The Mansfield Building Society and other companies if you, or other members of your household, apply for other facilities including insurance

applications. This information may also be used for debt tracing and the prevention of money laundering as well as the management of your account. Alternatively, we may ask you to provide physical forms of identification.

To prevent or detect fraud, or to assist in verifying your identity, we may make searches at fraud prevention agencies who will supply us with information. We may also pass information to financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft and fraud. If you give us false or inaccurate information and we suspect fraud, we will record this. We, and other companies may use this information if decisions are made about you or others at your address(es) on credit or credit-related services or motor, household, credit, life or any other insurance facilities. It may also be used for tracing and claims assessment.

We will continue to hold data about you for a period of 1 year if your application is unsuccessful. If your application is successful then we will continue to hold data about you for a period of 7 years after your account has been closed. We do this for audit purposes, money laundering and fraud prevention, and to help us to respond to any queries you may have in the future.

We will bring to your attention by mail, telephone, e-mail or otherwise products or services offered by The Mansfield Building Society Group or other selected suppliers which may be of interest to you. In some instances this may mean passing your name and address on to these associates and selected suppliers. If you do not wish your information to be used for these purposes please tick the box

You have the right of access to your personal records held by credit and fraud agencies. You can obtain upon request details of those credit reference and fraud prevention agencies from whom we obtain and to whom we pass information about you by telephoning us on 01623 676300.

You may upon request obtain a copy of details we hold about you upon payment of a £10 fee.

Phone calls may be monitored or recorded for quality and training purposes.

**Individuals (sole name)** - I am investing the amount shown overleaf in The Mansfield Building Society as sole beneficial owner and not as trustee or nominee for any other person or body of persons.

**Joint Accounts** (see Note below)

**Any one joint investor to sign** - We agree that either **or** any one of us may withdraw any or all of the money from the account and give good receipt to the Society. The Society may also rely on the signature of either **or** any one of us for any instruction or authority connected with this account.

**All joint holders to sign** - We agree that all joint investors must sign in order to withdraw any or all the money from the account.

#### **All Joint Accounts**

NB: (i) Where a joint investor dies, ownership of all money in the account passes automatically to the surviving joint investor(s).

(ii) Only the first named joint investor will receive notices of meetings, statements and other correspondence relating to the account.

(iii) Only the first named investor may acquire voting rights in the Society, in accordance with the Rules.

(iv) You may at any time change the identity of the first named investor in the records of the Society by notifying the Secretary of the Society in writing at its Principal Office.

## 7 DECLARATION & SIGNATURE continued

**Executor and Trustee Accounts** - I/We are investing the amount shown overleaf in The Mansfield Building Society as Executor(s) or Trustee(s). No company, or other body corporate will have any beneficial interest in the money invested in the Society.

NB: (i) Where the Executor or Trustee Account is in more than one name, the signatures of all joint investors are required for withdrawals.

(ii) Notes numbered (ii), (iii) and (iv) above under heading "**All Joint Accounts**" apply to Executor and Trustee Accounts (i.e. first named investor to receive notices of meetings and statements, and to exercise voting rights, procedure for changing of first named investor).

(iii) The Society does not have any responsibility to see that the terms of any will or trust are complied with. Where there are two or more Executors/Trustees the account will pass to the survivor(s) automatically. Changes in trusteeship should be notified immediately.

### All Accounts

I/We agree not to make any additional investment in the Society which would raise my/our investment in the Society above £400,000 in total.

I/We have read and acknowledge receipt of:

- the Special Product Terms and Conditions relating to my/our new account – see account leaflet;
  - the Society's General Account Terms and Conditions;
  - the Society's "Current Interest Rates Summary";
- AND I/we agree to be bound by them.

I/We have read and accept the above statement regarding data protection - use of your information.

I/We agree to be bound by the Rules of the Society.

I/We acknowledge receipt of a copy of the Society's Summary Financial Statement.

(Copies of the Society's Memorandum and Rules are available on request from the Principal Office of the Society).

**Nominee Accounts** - I am investing the amount shown overleaf in The Mansfield Building Society as nominee. No company or other body corporate will have any beneficial interest in the amount invested in the Society.

**Power of Attorney** - I/We agree that we are investing the amount shown overleaf in The Mansfield Building Society for and on behalf of (Please insert name and address of beneficial owner)

Name

Address

under the Power of Attorney which I/we have produced to the Society.

I/We acknowledge that I/we act as agents only and the individual named above is sole beneficial owner of the investment in the Society.

*Please note: Notices of meetings and statements will be sent to the holder of the Power of Attorney, who may exercise voting rights according to the Rules.*

I/We consent to the credit reference agency searches, uses of information and disclosures of information listed. It is important that you read and understand the section entitled Data Protection - Use of your Information. By signing this application, you agree that we can use your information in this way.

Signed (all applicants must sign - applications will not be accepted without all signature(s))

First Named Investor  
Signature

Second Named Investor  
Signature

Date

## 8 MORE INFORMATION

We have done all we can to make this application form easy to understand. We have aimed for a high standard of clarity. If you are unsure of any part of this application form please contact us when we will provide any explanation necessary and will consider amending our literature in the light of your comments.

### OPENING AN ACCOUNT

Having completed the application form please send it to us or take it along to your local branch.

### IDENTIFICATION

In common with all other banks and building societies we are required to confirm the identity of all customers who wish to open investment accounts. As part of our ongoing requirements to verify identity, existing customers may also be asked to provide identification. This ensures that we are doing everything possible to eliminate issues relating to financial crime such as money laundering and terrorism. These requirements apply to individuals only and to all named account holders. You must ask at the branch for details of account opening identification for any other category of account holder. Wherever possible we will try to establish proof of identity by electronic means. However, we may also require items from the list below of acceptable proof of identity. If needed we will require one document from list 1) and one document from list 2).

- 1) Full current passport, full current driving licence or photocard driving licence, provisional photocard driving licence, EU member ID card (with photograph), ID card (with photograph) from reputable source e.g. armed forces, DWP Pension, attendance allowance, disability payment or benefit book, PAYE HM Revenue & Customs coding notice, residence permit, construction industry tax exemption certificate (with photograph) firearms certificate, birth certificate (children only).
- 2) Local authority tax bill, recent utility bill (less than 3 months old), bank, building society or credit union statement showing address, building society passbook showing address, housing benefit entitlement letter, motor or home insurance certificate, vehicle registration document, tv licence, local authority rent book, recent mortgage statement, recent letter from HM Revenue & Customs, recent credit card statement.

### FOR ACCOUNTS OPENED IN THE POST

If separate identification is needed, the Society will accept certified photocopies of passports and drivers licence. Copies may be certified by a solicitor, lawyer or other regulated professional person.

### 14 DAY MONEY BACK GUARANTEE

If for any reason you are not happy with your choice of savings with The Mansfield Building Society let us know within 14 days of receiving your passbook and product information and we will refund your money without charge or penalty, and we will pay interest for the time your money was with us.

## STANDING ORDERS

To make regular payments into your account, you can set up a standing order from your Bank account. If you want to do this, please ask us for a Banker's Order Form when you open your account.

## WITHDRAWALS

You must always have your passbook with you when you take your money out.

Cheques must have been paid into your account at least 7 banking days before you can withdraw against them, due to the 'clearance' cycle.

## INTEREST RATES

Our rates of interest are shown in the 'Current Interest Rates Summary' which is presented with this application form. Interest rates can change, unless you are investing in a fixed rate account. If our interest rates go down, we will advertise our rates in accordance with the Society's Rules, a copy of which can be obtained from any branch.

## CHARGES

Many of the services we offer investing members are free. Sometimes we will make a charge to cover our administration costs. Our charges are on display in all branches.

### FOR OUR USE ONLY

Customer Number(s)

A/CS held  Matched

Originated by  Checked by

Forms of ID seen

## WE WOULD LIKE YOU TO HELP US ENSURE THAT WE CONTINUE TO IMPROVE OUR SERVICES TO MEMBERS AND PROFESSIONAL CONTACTS. CAN YOU PLEASE LET US KNOW HOW YOU HEARD ABOUT THE MANSFIELD BUILDING SOCIETY. (PLEASE TICK RELEVANT BOX)

- BRANCH DISPLAY
- BRANCH VISIT
- EXISTING MEMBER
- LEAFLET/BROCHURE
- NEWSPAPER/MAGAZINE
- MANSFIELD BUILDING SOCIETY WEBSITE
- INTERNET
- PERSONAL RECOMMENDATION
- RADIO
- TELEVISION
- FINANCIAL ADVISOR
- MANSFIELD BUILDING SOCIETY MAILSHOT
- MANSFIELD BUILDING SOCIETY ADVERT
- "BEST BUY" TABLE IN NEWSPAPER/MAGAZINE
- OTHER - PLEASE SPECIFY

Please state which publication.

Please confirm website address

Please state which programme/station.

Please state which channel / teletext / ceefax.

Name and address of advisor

Please state which publication

Please state which publication

IF YOU WOULD LIKE TO RECEIVE MORE DETAILS ON OTHER SERVICES THAT WE OFFER AT THE MANSFIELD BUILDING SOCIETY (please tick below)

MORTGAGES

MANSFIELD HOME INSURE BUILDINGS AND/OR CONTENTS INSURANCE

The selling of Mansfield Home Insure is undertaken by The Mansfield Building Society and Heath Lambert Insurance Services. Mansfield Home Insure is administered by Heath Lambert Insurance Services. Heath Lambert Insurance Services is a trading name of Heath Lambert Ltd. The policy is underwritten by certain underwriters at Lloyd's. The Mansfield Building Society, Heath Lambert Ltd and Lloyd's are all authorised and regulated by the Financial Services Authority (FSA).